

| State | Life Settlement | Does Agent |
|----------------------|------------------------|---|
| Nov-08 | Lic./Regis Req. | Need to be Licensed/Registered? |
| Alabama | No | No |
| Alaska | Yes | Yes- Life, Variable and Settlement License Req'd |
| Arizona | No | No |
| Arkansas | Yes | Yes - Life or Settlement License Req'd |
| California | No | No |
| Colorado | Yes | Yes - Life License and Settlement Registration Req'd. |
| Connecticut | Yes | Yes - Life License and Settlement Registration Req'd. |
| Delaware | No | No |
| District of Columbia | No | No |
| Florida | Yes | Yes - Life License and Self-Appointment Req'd |
| Georgia | Yes | Yes - Life License and Settlement Registration Req'd. |
| Hawaii | Yes | Yes - Life License and Settlement Registration Req'd |
| Idaho | No | No |
| Illinois | No | No |
| Indiana | Yes | Yes - Life License and Settlement Declaration Req'd |
| Iowa | Yes | Yes- Life License and Settlement Registration Req'd |
| Kansas | Yes | Yes - Settlement License Req'd |
| Kentucky | Yes | Yes - Life License and Settlement Registration Req'd. |
| Louisiana | Yes | Yes - Life License and Settlement Declaration Req'd |
| Maine | Yes | Yes - Life License Req'd |
| Maryland | Yes | Yes - Life License and Settlement Registration Req'd. |
| Massachusetts | No | No |
| Michigan | No | No |
| Minnesota | No | No |
| Mississippi | Yes | Yes - Settlement License Req'd |
| Missouri | No | No |
| Montana | Yes | Yes - Life License w/Regis. or Settlement License |
| Nebraska | Yes | Yes - Life License w/Regis. or Settlement License |
| Nevada | Yes | Yes - Life License w/Regis. or Settlement License |
| New Hampshire | No | No |
| New Jersey | Yes | Yes - Life License and Settlement Registration Req'd. |
| New Mexico | No | No |
| New York | No | No |
| North Carolina | Yes | Yes - Settlement License Req'd |
| North Dakota | Yes | Yes - Life License, Settlement License, and Bond |
| Ohio | Yes | Yes- Settlement Licence required. Life license, if licensed for 5 years (after 9/11/08) |
| Oklahoma | Yes | Yes - Life License w/Regis. or Settlement License |
| Oregon | No | No |
| Pennsylvania | Yes | Yes - Life License(to waive exam) or Settlement Lic. |
| Puerto Rico | Yes | Yes - Life License & Settlement License Req. |
| Rhode Island | No | No |
| South Carolina | No | No |
| South Dakota | No | No |
| Tennessee | Yes | Yes - Settlement License Req'd |
| Texas | Yes | Yes - Settlement Registration Req'd |
| Utah | Yes | Yes - Life or Settlement License Req'd |
| Vermont | No | No |
| Virginia | Yes | Yes - Settlement License Req'd |
| Washington | No | No |

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| West Virginia | Yes | Yes- Life License, if incidental to producer business |
| Wisconsin | No | No |
| Wyoming | No | No |